

## ...Decisions... Decisions...

These notes indicate the decisions taken at this meeting and the officers responsible for taking the agreed action. For background documentation please refer to the agenda and supporting papers available on the Council's web site ([www.oxfordshire.gov.uk](http://www.oxfordshire.gov.uk).)

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### **AUDIT & GOVERNANCE COMMITTEE - WEDNESDAY, 9 NOVEMBER 2016**

<i>RECOMMENDATIONS FROM THE AGENDA</i>	<i>DECISIONS</i>	<i>ACTION</i>
<b>1. Apologies for Absence and Temporary Appointments</b>	Apologies were received from Councillor Alison Rooke (Councillor Jenny Hannaby substituting), Councillor Yvonne Constance (Councillor Patrick Greene substituting) and Councillor Roz Smith.	
<b>2. Declaration of Interests - see guidance note</b>	None.	
<b>3. Minutes</b>  To approve the minutes of the meeting held on 14 September 2016 ( <b>AG3</b> ) and to receive information arising from them.	The minutes of the meeting of 14 September 2016 were amended under Item 51/16 with the insertion of the final text of the resolution. The minutes were approved as amended and signed.	
<b>4. Petitions and Public Address</b>	None.	
<b>5. Update on Financial Control Improvement Plan</b>  2.10pm  Report by the Chief Finance Officer ( <b>AG5</b> )  In July 2016 the Committee received a report outlining the Financial Control Improvement Plan established to address weaknesses previously identified and to provide efficient financial management	Recommendation agreed.	

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<p>systems, policies and procedures that will support the Council in delivering effective services to our customers. This paper provides a progress report to the Committee.</p> <p><b>The Committee is RECOMMENDED to note the report.</b></p>		
<p><b>6. Update on Transformation</b></p> <p>2.40pm</p> <p>Report by the Deputy Director – Transformation (<b>AG6</b>)</p> <p>The Interim Transformation Director, Mark Stone provided an introduction to Transformation at the last committee meeting in September. This paper is intended to update members of the Committee on the work undertaken since then.</p> <p><b>The Committee is RECOMMENDED to note the report.</b></p>	<p>Recommendation agreed.</p>	
<p><b>7. External Auditors</b></p> <p>3.10pm</p> <p>A representative from the external auditors, Ernst &amp; Young, will attend to present the following item:</p> <ul style="list-style-type: none"> <li>• Annual Audit Letter</li> </ul>	<p>RESOLVED: that the Committee noted the report.</p>	
<p><b>8. Treasury Management Mid Term Review</b></p> <p>3.30pm</p> <p>Report by the Chief Finance Officer (<b>AG8</b>)</p> <p>The report sets out the Treasury</p>	<p>Recommendation agreed.</p>	

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<i>RECOMMENDATIONS FROM THE AGENDA</i>	<i>DECISIONS</i>	<i>ACTION</i>
<p>Management activity undertaken in the first half of the financial year 2016/17 in compliance with the CIPFA Code of Practice. The report includes Debt and Investment activity, Prudential Indicator monitoring and forecast interest receivable and payable for the financial year.</p> <p><b>The Committee is RECOMMENDED to note the report.</b></p>		
<p><b>9. Audit Working Group report</b></p> <p>4pm</p> <p>Report by the Chief Finance Officer (<b>AG9</b>)</p> <p>This report presents the matters considered by the Audit Working Group Meeting of 27 October 2016.</p> <p><b>The Committee is RECOMMENDED to note the report.</b></p>	<p>Recommendation agreed.</p>	
<p><b>10. Audit &amp; Governance Committee Work Programme</b></p> <p>4.10pm</p> <p>To review the Committee's Work Programme (<b>AG10</b>).</p>	<p>The Committee agreed the Committee's work programme.</p>	
<p><b>11. Appointment of External Auditors</b></p> <p>Report by the Chief Finance Officer (<b>AG11</b>)</p> <p>Under the provisions set out in Section 100B(4) of the Local Government Act 1972 (as amended) the Chairman of the meeting is of the opinion that the following report can be taken after Item 7 on the Agenda as urgent business in order to meet the required deadline.</p>	<p>Recommendation agreed.</p>	

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<p>In July 2016 the Committee considered a report that set out the options available for the appointment of External Auditors, with new arrangements to be in place for the first appointment by 31 December 2017. The Committee resolved to explore the Sector Led Body option in more detail subject to a comparison of costs.</p> <p>The Secretary of State has given the role of appointing Auditors under a National Scheme to Public Sector Audit Appointments (PSAA), as the “sector led body”. This report aims to provide the Committee with further information on the offer from PSAA and the comparative costs of the alternative options.</p> <p>The Chairman of the Committee agreed to add this item to the agenda as an urgent item</p> <p><b>The Committee is RECOMMENDED to agree for the Chairman of the Committee to present a report to The Council on 13 December 2016, recommending opting in to the National Scheme for the appointment of External Auditors.</b></p>		